INTRODUCTION

The propensity of malignant cells to develop drug resistance is one of the major problems confronting cytotoxic strategies [1]. Over 40 years ago, Judah Folkman proposed antiangiogenic therapy as an alternative approach to cancer treatment [2]. Essentially all tissue growth is angiogenesis-dependent [3], and the genomic stability of host endothelial and vascular-progenitor cells targeted by antiangiogenic therapy should theoretically limit acquired resistance [4]. In contrast to conventional cytotoxic therapy, which
is classically given in an interval dose-intensive fashion to maximize cell kill and minimize resistance [5], sustained suppression of endothelial cell proliferation requires a low continuous (metronomic) dosing [6,7]. Browder et al. [6] demonstrated that cyclophosphamide-resistant tumors can be killed in vivo by metronomic dosing of the same agent, and that this activity is attributable to antiangiogenesis. These findings have been replicated with other agents [7,8]. An antiangiogenic strategy thus offers the possibility of greater long-term efficacy and tolerability than conventional cytotoxic therapy [9].

The mechanisms and pathways involved in new blood vessel recruitment are multiple and redundant. Opportunity exists for a malignancy to exploit these redundancies and bypass a unimodal antiangiogenic strategy [10,11]. Combination antiangiogenic therapy, targeting non-overlapping aspects of neovascularization, may be a more effective means of overcoming treatment resistance.

We previously reported a pilot study that demonstrated feasibility and tolerability of an oral antiangiogenic regimen including thalidomide, celecoxib, and alternating 21 day cycles of low-dose oral cyclophosphamide and etoposide [12]. We subsequently demonstrated that the PPAR-alpha agonist fenofibrate has antiangiogenic anti-tumor activity, and that the antiangiogenic effects of PPAR modulation are synergistic with COX2 inhibition and metronomic cytotoxic therapy in a preclinical model [8]. Given the synergistic activity of fenofibrate without added toxicity in vivo, and in view of the poor prognosis and limited options in children with progressive disease, it was proposed that fenofibrate be added to the 4-drug regimen for the successor study. A single arm trial was proposed to assess the activity of this 5-drug combination.

We now report the results of the multi-center open-label prospective two-stage phase II trial of a multi-agent oral antiangiogenic/metronomic “5-drug” regimen for children with recurrent or progressive cancer. Treatment consisted of alternating 21-day cycles of low-dose oral cyclophosphamide and etoposide, with continuous oral thalidomide, celecoxib, and fenofibrate. The primary objective of the study was to assess the efficacy of this oral antiangiogenic/metronomic regimen within eight different disease strata in children with recurrent disease.

METHODS

The study was initiated at 11 centers in the United States. Institutional Review Board approval was obtained at all participating institutions. A data-safety monitoring committee oversaw the conduct of the study. Eligible patients were ≤21 years with evaluable recurrent or progressive tumors for which no curative therapy remained, Karnofsky/Lansky performance status ≥50, estimated life expectancy ≥2 months, no significant underlying organ disease, and adequate organ function, defined as serum creatinine <1.5 mg/dl, total bilirubin ≤1.5 mg/dl, transaminases and alkaline phosphatase ≤3 times normal, hemoglobin ≥8.0 g/dl, platelets ≥75,000/mm³, and absolute neutrophil count ≥1,000/mm³. Patients could not receive concurrent radiation or chemotherapy, nor could they have received prior oral low-dose etoposide or cyclophosphamide. Use of prior standard-dose intravenous etoposide/cyclophosphamide was permitted. Patients with non-progressive refractory disease were not eligible.

Treatment

Treatment consisted of daily oral thalidomide and fenofibrate, twice-daily oral celecoxib, and alternating 21-day cycles of low-dose oral cyclophosphamide and etoposide (Table I). Thalidomide was initiated at a lower dose to allow acclimatization to sedative effects; this was not related to a dose-finding study. Planned treatment duration was 27 weeks. Continuation of treatment beyond 27 weeks in patients with responsive or stable disease was permitted at the discretion of the treating institution; data regarding treatment continuation beyond 27 weeks was not uniformly collected. Dose reductions or interruptions were permitted at the individual investigator’s discretion for clinically significant toxicities. Patients with clinically significant grade 3 or 4 toxicities that did not resolve with dose adjustment were removed from study. Following termination of study therapy, patients were followed for survival and disease status.

Disease Evaluation

As appropriate for tumor type and location, gadolinium-enhanced MRI and other imaging modalities were performed at study entry, every 9 weeks during therapy, and at study termination. Best response was regarded as best response at any single assessment. Response was defined as follows: complete resolution of all demonstrable tumor, complete response (CR); ≥50% decrease in the product of the two maximum perpendicular diameters relative to the baseline evaluation, partial response (PR); <50% decrease and <25% increase in product of diameters, stable disease (SD); and ≥25% increase in product of diameters, development of new areas of disease, or disease-attributable clinical deterioration or death, progressive disease (PD). For patients with leukemia PD was defined as ≥25% or ≥5,000 cells/mm³ increase in number of circulating cells, development of extramedullary disease, or other clinical evidence of progression.

Statistical Design

Patients were accrued to one of seven disease strata: leukemia/lymphoma, bone tumors, neuroblastoma, high-grade glioma, low-grade glioma, ependymoma, and medulloblastoma/PNET. Patients whose disease did not fall within these strata were accrued to an

<table>
<thead>
<tr>
<th>Medication</th>
<th>Dosing schedule</th>
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<tbody>
<tr>
<td>Continuous</td>
<td>Start at 3 mg/kg (rounded to nearest 50 mg) daily</td>
</tr>
<tr>
<td>Thalidomide</td>
<td>Increase dose weekly by 50 mg as tolerated by 24 mg/kg (max 1,000 mg) daily</td>
</tr>
<tr>
<td>Celecoxib</td>
<td>&lt;20 kg: 100 mg twice daily 20-50 kg: 200 mg twice daily</td>
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<td></td>
<td>&gt;50 kg: 400 mg twice daily</td>
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<tr>
<td>Fenofibrate</td>
<td>90 mg/m² (max 200 mg) daily</td>
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<tr>
<td>Alternating 21 day cycles</td>
<td>1.5 mg/kg (max 100 mg) daily</td>
</tr>
<tr>
<td>Etoposide</td>
<td>50 mg/m² daily for 21 days</td>
</tr>
<tr>
<td>Cyclophosphamide</td>
<td>2.5 mg/kg (max 100 mg) daily for 21 days</td>
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Patients with history of significant myelosuppression with prior therapy initiated etoposide at 35 mg/m² day and escalated to 50 mg/m² as tolerated.
eighteenth “miscellaneous” stratum. The primary endpoint of the study was to assess, within each stratum, the activity of the 5-drug regimen given over a 27-week period. A favorable outcome was defined as completion of 27 weeks therapy without tumor progression. A two-stage design was implemented for each stratum. If none of the first 10 subjects in a stratum were able to complete 27 weeks therapy without disease progression, enrollment to that stratum was terminated. If at least one patient successfully completed 27 weeks of therapy, up to 10 additional patients were allowed to be accrued to the stratum. Three or more patients completing 27 weeks of treatment without disease progression was defined as a favorable outcome. With this design, the probability of a favorable outcome given a true success rate of 30% was 0.95, and 0.07 given a true rate of 5%. Secondary endpoints included objective response rate; overall survival (OS), defined as time from date of treatment initiation to date of death from any cause; and progression-free survival (PFS), defined as time from date of treatment initiation to date of death from any cause; and progression-free survival (PFS), whichever occurred first. Patients were censored at date of last known contact for OS, and date of last disease progression, whichever occurred first. Patients were censored at date of last known contact for OS, and date of last disease assessment for PFS. Patients who started a new regimen in absence of death from any cause were censored at date of treatment initiation to date of either death from any cause or disease progression, whichever occurred first.

Patient baseline characteristics were summarized as number (percentage) of patients for categorical endpoints and median (range) for continuous endpoints. Exact two-stage binomial 90% confidence intervals (CI) were reported. Comparisons between groups were performed using Fisher’s exact test for binary endpoints and Wilcoxon rank sum test for continuous endpoints. A repeated measurement analysis using mixed models was performed to evaluate the tempo of changes in correlative markers of angiogenesis. The Kaplan–Meier method was used to estimate time-to-event secondary endpoints. All P values are two-sided. Statistical analyses were performed using SAS statistical software (version 9.2, SAS Institute, Inc., Cary, NC).

Surrogate Marker Analysis

Blood and urine samples were requested before therapy and every ninth week. VEGF, bFGF, endostatin, and thrombospondin-1 levels were evaluated from serum, plasma, and urine using commercially available ELISA kits (VEGF and bFGF: R&D Systems, Minneapolis, MN; endostatin and thrombospondin: CytImmune Sciences, Inc., College Park, MD) in accordance with the manufacturers’ recommended methodology.

RESULTS

The study was open to accrual from January 7, 2005 through March 6, 2009, and enrolled 101 patients; 97 began treatment. Accrual goals were met for the high-grade glioma stratum. In low-grade glioma, ependymoma, and miscellaneous tumors strata the number of responses allowed criteria for positive activity to be met before 20 patients had been enrolled; the statistical team therefore allowed early study completion. Accrual to the bone tumor stratum was terminated after the first stage due to lack of efficacy in the first 10 patients; two additional patients were enrolled while response assessment for the first stage was still ongoing. Accrual to leukemia/lymphoma, neuroblastoma, and medulloblastoma/PNET strata was limited due to prominent competing trials for these diseases during the study time-period. Patient characteristics are summarized in Table II.

Clinical Outcome

Twenty-four subjects (25%, [90% CI 18%, 33%]) successfully completed 27 weeks of the 5-drug regimen without PD or significant toxicity. Sixty-five (67%, [90% CI 58%, 75%]) discontinued therapy due to PD, including three who died of disease while on study. One patient died due to complications of acute infection. Two (2%, [90% CI 0%, 6%]) discontinued therapy due to toxicity. Five (5%, [90% CI 2%, 11%]) withdrew consent due...
to patient/family preference. Reasons for treatment discontinuation by strata are shown in Supplementary Table I. The 27-week OS was 60% (90% CI 52%, 68%). Best response was CR (1), PR (12), SD (36), PD (47), and not evaluable (1). Favorable outcome was seen in ependymoma, low-grade glioma, and miscellaneous tumors strata (Table III).

Nine (47%) ependymoma patients had metastatic disease at time of enrollment. Primary tumor location was supratentorial (N = 28), infratentorial (N = 13), and spinal (N = 3). All had received at least one prior course of radiation, and a median of 1 prior course of chemotherapy. Seven (37% [90% CI 19%, 58%]) completed therapy with SD or better. Two-year PFS and OS for patients with ependymoma were 34% (90% CI 16%, 52%) and 43% (90% CI 23%, 61%) (Fig. 1). Long-term (>3 year) survivors included two with metastatic disease.

Low-grade glioma patients had received a median of 2 prior chemotherapy regimens. Four (33%) had received prior irradiation. Prior chemotherapy included one or more carboplatin-containing regimens (N = 10), temozolomide (N = 7), procarbazine and nitrosourea-containing regimens (N = 5), and vinblastine (N = 2). Three patients (25%) experienced PD within the first 9 weeks of study. The remaining nine patients (75%) had best response of SD or better. Two came off study before 27 weeks with SD, because of toxicity (N = 1) or to undergo surgical debulking of residual stable tumor (N = 1). The remaining 7 (58% [90% CI 32%, 82%]) completed therapy without PD. Two-year PFS and OS for patients with low-grade glioma were 33% (90% CI 11%, 57%) and 83% (90% CI 54%, 94%) (Fig. 1).

Responses among patients in the miscellaneous stratum included one patient with anaplastic glioneuronal tumor who completed study therapy with SD and demonstrated a subsequent sustained CR. One patient each with neurocytoma and chordoma completed therapy with PR. One patient each with mixed malignant germ cell tumor, meningioma, hepatocellular carcinoma, and lymphangiomata completed therapy with SD. In addition, one patient with choroid plexus carcinoma demonstrated a PR, but came off study before 27 weeks due to patient/family preference. One patient with anaplastic glioneuronal tumor (miscellaneous CNS tumors strata) and best response SD sustained a CR during continuation therapy. One patient with neurocytoma and best response SD had metastatic disease at the time of enrollment. Prior chemotherapy included one or more carboplatin-containing regimens (N = 10), temozolomide (N = 7), procarbazine and nitrosourea-containing regimens (N = 5), and vinblastine (N = 2). Three patients (25%) experienced PD within the first 9 weeks of study. The remaining nine patients (75%) had best response of SD or better. Two came off study before 27 weeks with SD, because of toxicity (N = 1) or to undergo surgical debulking of residual stable tumor (N = 1). The remaining 7 (58% [90% CI 32%, 82%]) completed therapy without PD. Two-year PFS and OS for patients with low-grade glioma were 33% (90% CI 11%, 57%) and 83% (90% CI 54%, 94%) (Fig. 1).

Response rate was unfavorable in high-grade glioma and bone tumor strata (Table III and Fig. 1). Of 21 evaluable patients with high-grade glioma, one patient (5%, [90% CI 0–21%]) with secondary GBM and a prior history of medulloblastoma completed 27-week study therapy with SD. Eleven of 12 bone tumor patients were evaluable for disease response. All, including three with Ewing sarcoma and eight with osteosarcoma, had metastatic disease with pulmonary involvement at the time of enrollment. All experienced PD during the treatment period, most (91%) within the first 9 weeks of therapy.

Accrual to three disease strata—medulloblastoma/PNET, leukemia/lymphoma, and neuroblastoma—was inadequate for response assessment. Three of four leukemia patients experienced...
disease progression shortly after initiating therapy. No lymphoma patients were enrolled. Eight patients in the PNET/medulloblastoma stratum included six patients with medulloblastoma; three medulloblastoma patients had best response of SD or better, including one who demonstrated a CR. Of three patients with neuroblastoma, one completed therapy with SD.

**Toxicities**

Treatment was well tolerated overall in the majority of patients. The most common toxicities were hematologic: 32% developed grade 4 neutropenia (ANC <500/mm^3), 22% developed grade 3–4 anemia (hemoglobin <8 g/dL), and 5% developed grade 4 thrombocytopenia (platelets <25,000/mm^3). Febrile neutropenia occurred in 11%. Neutropenia incidence was similar across strata. Dose reductions or interruptions were permitted at the individual investigator’s discretion. Interruption of one or more drugs due to toxicity occurred at least once in 43 patients (44%). Toxicities and dose interruptions are summarized in Supplementary Tables II and III. There was one toxic death: a patient with recurrent Ewing sarcoma developed Enterococcus faecalis bacteremia with neutropenia in the fourth week of therapy, suffered progressive clinical deterioration with acute respiratory distress syndrome, and subsequently expired. Two other patients came off study due to toxicity: one with neutropenia and transaminase elevation during the second week of therapy, and one with rash. In both cases, toxicity resolved upon discontinuation of therapy.

The study did not include uniform assessment of long-term toxicities. One second malignancy has been reported in an ependymoma patient treated with the 5-drug regimen for 21 months, who developed t(8;21) acute myelogenous leukemia (AML) 26 months after study entry. No other second malignancies have been reported.

**Correlative Studies**

Markers of angiogenesis were obtained in a patient subset. Baseline serum TSP-1 levels were available for 52 patients (54%), including 17 who completed therapy and 35 with PD. Serum TSP-1 levels were significantly higher in patients who completed therapy than in non-completers (median 9.163 ng/mL vs. 4.299 ng/mL, \( P = 0.009 \)). Baseline VEGF, bFGF, and endostatin levels were not significantly different in study completers compared to non-completers (Supplementary Table IV). A significant difference was seen in the slope of urine endostatin level over time: level remained stable in patients who completed therapy, but decreased over time in non-completers (\( P = 0.03 \), repeated measures model). Analysis of correlative findings was limited by intra-patient variability.

**DISCUSSION**

Metronomic therapy is defined as sustained low-dose administration of chemotherapeutic agents [13]. Its development as a therapeutic modality was prompted by the in vivo observation that tumors resistant to dose-intensive therapy would respond to the same agents at low continuous dosing [6]. The concentrations achieved by metronomic dosing are typically well below concentrations required for direct tumor-cell kill. Instead, metronomic therapy exerts its primary effect on the host cells of the tumor microenvironment, including pericytes, endothelium, mesenchymal cells, and inflammatory cells [13]. While several mechanisms of action have been proposed and may play a role, antiangiogenesis is believed to be the most important [6–8].

VEGF blockade as a unimodal antiangiogenic strategy has resulted in only modest clinical success. This can be explained in part by the multiplicity and redundancy of mechanisms involved in tumor-induced angiogenesis [11]. Simultaneous inhibition of multiple pro-angiogenic pathways may be a more effective strategy.

Several small pilot studies have evaluated the feasibility of various antiangiogenic multi-drug combinations [12,14–16]. The rationale for the combination of thalidomide, celecoxib, and low-dose etoposide and cyclophosphamide has previously been described [12]. The inclusion of the PPAR-alpha agonist fenofibrate was prompted by the preclinical observation that PPAR modulation exerts its primary effect on the host cells of the tumor microenvironment, including pericytes, endothelium, mesenchymal cells, and inflammatory cells [13]. While several mechanisms of action have been proposed and may play a role, antiangiogenesis is believed to be the most important [6–8].

The 5-drug regimen was well tolerated in this heavily pre-treated population. There was one non-disease-related death, in a patient with neutropenia, bacteremia, and multi-organ failure. Overall, the cumulative incidence of severe neutropenia, the most common toxicity, compared favorably to that seen in other low-intensity regimens [22,23]. Seven percent of patients came off study either due to toxicity (2%) or patient/family preference (5%).

One previously irradiated patient who received the 5-drug regimen for nearly 2 years developed AML characterized by a t(8;21) translocation within months of treatment completion. The t(8;21) translocation is primarily associated with de novo AML and associated with a favorable prognosis. Therapy-associated t(8;21) AML has been reported as a rare event, with a median latency of 37 months [24]. The incidence of second malignancy after prolonged low-dose topoisomerase or alkylator therapy is not well defined.

Clinical benefit, including PR or sustained stabilization of previously progressive disease, was seen for both ependymoma and low-grade glioma. This confirms observations in the pilot feasibility study testing a similar (4-drug) regimen. That study, which primarily evaluated toxicity and was not powered for disease-specific efficacy evaluation, included one patient with low grade glioma who demonstrated a PR, and five patients with ependymoma who demonstrated PR (2), SD (2), and PD (1) [12]. Other reports of favorable outcome for recurrent low-grade glioma treated with metronomic vinblastine [22] or with bevacizumab and irinotecan [25] and responses in some cases of recurrent ependymoma treated with low-dose oral etoposide [26] support the validity of an antiangiogenic strategy in these diseases. The miscellaneous tumors disease stratum also showed a favorable outcome. Individual diseases showing PR and/or sustained SD included both CNS tumors (anaplastic glioneuronal tumor, neurocytoma, chordoma, germ cell tumor, meningioma, choroid plexus carcinoma) and non-CNS tumors (hepatocellular carcinoma, lymphangioma). Further investigation for these diseases may be warranted. Accrual to leukemia, neuroblastoma, and medulloblastoma/PNET strata was limited, primarily due to prominent...
competing trials for these diseases during the study time-period. Rapid progression in three leukemia patients suggests that this is not a viable strategy for leukemia. Favorable outcomes in individual patients with medulloblastoma and neuroblastoma are intriguing. Sustained responses in a majority of medulloblastoma patients treated with the 5-drug regimen and concurrent intravenous bevacinuzumab and intrathecal therapy were recently reported in a pilot study [27].

The 5-drug regimen was not effective in the treatment of high-grade glioma: only 1 of 21 subjects with high-grade glioma completed 27 weeks therapy without PD. Outcome in the treatment of bone tumors was likewise unpromising: none of 12 subjects with bone tumors completed study therapy. Interpretability of findings is limited by the heterogeneity of this stratum, which included eight subjects with osteosarcoma and only three evaluable patients with Ewing sarcoma, all of whom had advanced disease with significant tumor burden.

We chose tumor non-progression rather than response as the primary endpoint for this study. This reflects the unique mechanism of action and intended effect of a metronomic strategy, as well as a conceptual shift in goal for many currently incurable malignancies: away from cancer eradication, and towards the effective redefinition of cancer as a chronic disease [28]. We chose 27 weeks as the minimum treatment duration. This reflects what we suggest is a minimum interval required, in the setting of active measurable disease progression at the time of treatment initiation, to differentiate disease stabilization from continued slow progression, especially in typically slow-growing tumors such as low-grade glioma.

Baseline serum TSP-1 was higher in patients who successfully completed therapy than in patients with PD. This replicates previous findings[12]. TSP-1 is a modulator of angiogenesis, induces endothelial cell apoptosis in vivo [29] and may play a role in mediating the antiangiogenic activity of metronomic chemotherapy [30]. We hypothesize that TSP-1 elevation may be associated with increased tumor susceptibility to antiangiogenic therapy. Variability in response to antiangiogenic regimens suggests the existence of distinct angiogenic subtypes. Prospective systematic incorporation of imaging and tissue evaluation into future trials may facilitate better understanding of antiangiogenic resistance, and ultimately open the possibility of biologically tailored (“personalized”) antiangiogenic strategies.

Limitations of this study included lack of central review of imaging or pathology. In addition, toxicities were recorded only during the 27 weeks of study therapy. Systematic monitoring of late toxicities and incorporation of comparative quality-of-life metrics will be an important component of future disease-specific trials.

Comparison of the 5-drug regimen with other historical options was not an objective of this study. For most pediatric malignancies, including ependymoma, no effective salvage regimen has been completed therapy than in patients with PD. Outcome in the treatment of bone tumors was likewise unpromising: none of 12 subjects with bone tumors completed study therapy. Interpretability of findings is limited by the heterogeneity of this stratum, which included eight subjects with osteosarcoma and only three evaluable patients with Ewing sarcoma, all of whom had advanced disease with significant tumor burden.

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Comparison of the 5-drug regimen with other historical options was not an objective of this study. For most pediatric malignancies, including ependymoma, no effective salvage regimen has been previously established. Several chemotherapeutic approaches have been shown benefit for progressive low-grade glioma, although none is curative and all involve intravenous drug administration. Further evaluation, ideally in a randomized large two-arm phase III setting, is needed to establish comparative disease-specific efficacy. Strategies combining this multi-drug anti-angiogenic with conventional cytotoxic or newer biologic regimens will also be an important focus of future research. Encouraging results were seen in a recent multicenter European study that tested a multi-drug oral

and intravenous anti-angiogenic regimen in combination with retinoic acid [31]. In conclusion, this phase II study showed that the 5-drug oral antiangiogenic regimen was feasible, with a favorable toxicity profile. Clinical benefit was seen in patients with low-grade glioma and ependymoma, as well as in a miscellaneous tumors stratum. Further evaluation in disease-specific trials is planned.

REFERENCES


